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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Peter David East and Susan Elizabeth Brown  
U.S. Serial No. : 10/590,539  
Filed : as §371 national stage of PCT International Application No. PCT/AU2005/000234  
For : ANTIFUNGAL PEPTIDES

1185 Avenue of the Americas  
New York, New York 10036  
May 30, 2007

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In order to ensure compliance with applicants' duty of disclosure under 37 C.F.R. §1.56 and §1.97(a)-(d), applicants submit this Information Disclosure Statement to supplement the Information Disclosure Statement filed August 24, 2006. Applicants request that the documents listed on Form PTO-1449, attached hereto as **Exhibit A**, be considered and made of record in the above-identified application. These documents are the following:

1. Banzet, N., et al., (2002) "Expression Of Insect Cystein-Rich Antifungal Peptides In Transgenic Tobacco Enhances Resistance To A Fungal Disease," *Plant Science*, 162: 995-1006 (**Exhibit 1**);
2. Boman, H.G., et al., (1989) "Chemical Synthesis And

Enzymic Processing Of Precursor Forms Of Cecropins A  
And B," *The Journal Of Biological Chemistry*, 264(10):  
5852-5860 (Exhibit 2);

3. Chenna, R., et al., (2003) "Multiple Sequence Alignment  
With The Clustal Series Of Programs," *Nucleic Acids  
Research*, 31(13): 3497-3500 (Exhibit 3);
4. De Lucca, A.J. and Walsh, T.J., (1999) "Antifungal  
Peptides: Novel Therapeutic Compounds Against Emerging  
Pathogens," *Antimicrobial Agents And Chemotherapy*,  
43(1): 1-11 (Exhibit 4);
5. De Lucca, A.J. and Walsh, T.J., (2000) "Antifungal  
Peptides: Origin, Activity, And Therapeutic Potential,"  
*Revista Iberoamericana de Micologia*, 17(4): 116-120  
(Exhibit 5);
6. European Patent Application Publication No. EP 0 798  
381 A3 published June 17, 1998 (NATIONAL INSTITUTE OF  
AGROBIOLOGICAL RESOURCES, MINISTRY OF AGRICULTURE,  
FORESTRY AND FISHERIES) (Exhibit 6);
7. European Patent Application Publication No. EP 0 239  
400 B1 published August 3, 1994 (MEDICAL RESEARCH  
COUNCIL) (Exhibit 7);
8. Fehlbaum, P., et al., (1994) "Insect Immunity. Septic  
Injury Of *Drosophila* Induces The Synthesis Of A Potent  
Antifungal Peptide With Sequence Homology To Plant  
Antifungal Peptides," *The Journal of Biological  
Chemistry*, 269(52): 33159-33163 (Exhibit 8);

9. French Patent Application Publication No. FR 2 723 951  
- A1, published March 1, 1996 (AGRICULTURE FORESTRY AND  
FISHERIES TECHNICAL INFORMATION SOCIETY) (**Exhibit 9**);
10. French Patent Application Publication No. FR 2 733 237  
- A1 published October 25, 1996 (RHONE POULENC  
AGROCHIMIE) (**Exhibit 10**);
11. Furukawa, S., et al., (1999) "Inducible Gene Expression  
Of Moricin, A Unique Antibacterial Peptide From The  
Silkworm (*Bombyx mori*)," *The Biochemical Journal*,  
340(Pt 1): 265-271 (**Exhibit 11**);
12. Ghannoum, M. A. and Rice, L.B., (1999) "Antifungal  
Agents: Mode of Action, Mechanisms Of Resistance, And  
Correlation Of These Mechanisms With Bacterial  
Resistance," *Clinical Microbiology Reviews*, 12(4): 501-  
517 (**Exhibit 12**);
13. Gleave, A.P., (1992) "A Versatile Binary Vector System  
With A T-DNA Organisational Structure Conducive To  
Efficient Integration Of Cloned DNA Into The Plant  
Genome," *Plant Molecular Biology*, 20: 1203-1207  
(**Exhibit 13**);
14. Hara, S. and Yamakawa, M., (1995) "Moricin, A Novel  
Type Of Antibacterial Peptide Isolated From The  
Silkworm, *Bombyx Mori*," *The Journal Of Biological  
Chemistry*, 270(50): 29923-29927 (**Exhibit 14**);
15. Hara, S. and Yamakawa, M., (1996) "Production In

*Escherichia coli* Of Moricin, A Novel Type Antibacterial Peptide From The Silkworm, *Bombyx mori*," *Biochemical And Biophysical Research Communications*, 220: 664-669 (Exhibit 15);

16. Harayama, S., (1998) "Artificial Evolution By DNA Shuffling," *Trends In Biotechnology*, 16(2): 76-82 (Exhibit 16);
17. Hemmi, H., et al., (2002) "Solution Structure Of Moricin, An Antibacterial Peptide, Isolated From The Silkworm *Bombyx mori*," *Federation Of European Biochemical Societies Letters*, 518(1-3): 33-38 (Exhibit 17);
18. International Patent Application Publication No. WO/1999/002717 published January 21, 1999 (RHONE-POULENC AGRO) (Exhibit 18);
19. International Patent Application Publication No. 1999/053053 published October 21, 1999 (RHONE-POULENC AGRO) (Exhibit 19);
20. International Patent Application Publication No. WO/2002/000706 A2 published January 3, 2002 (RHOBIO) (Exhibit 20);
21. International Patent Application Publication No. WO/2002/000836 A2 published January 3, 2002 (CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE and ENTOMED) (Exhibit 21);

22. International Patent Application Publication No. WO 2004/016650 A1 published February 26, 2004 (ENTOMED) (Exhibit 22);
23. Japanese Patent Application Publication No. 7-250685 published October 3, 1995 (NORINSUISANSHO NOGYO SEIBUTSU) (Exhibit 23);
24. Japanese Patent Application Publication No. 11-215983 published August 10, 1999 (AGRICULTURE, FORESTRY AND FISHERIES TECHNICAL INFORMATION ASSOCIATION INC.) (Exhibit 24);
25. Japanese Patent Application Publication No. 11-255799 published September 21, 1999 (IWATE PREFECTURE) (Exhibit 25);
26. Japanese Patent Application Publication No. 2004-266900, published September 24, 2004 (HOKURIKU ELECTRIC POWER) (Exhibit 26);
27. Lamberty, M., et al., (1999) "Insect Immunity. Isolation From The Lepidopteran *Heliothis Virescens* Of A Novel Insect Defensin With Potent Antifungal Activity," *The Journal Of Biological Chemistry*, 274(14): 9320-9326 (Exhibit 27);
28. Mak, P., et al., (2001) "Antibacterial Peptides Of The Moth *Galleria mellonella*," *Acta Biochimica Polonica*, 48(4): 1191-1195 (Exhibit 28);
29. McGuffin, L.J., et al., (2000) "The PSIPRED Protein

Structure Prediction Server," *Bioinformatics*, 16(4):  
404-405 (Exhibit 29);

30. Otvos, L., Jr., (2000) "Antibacterial Peptides Isolated From Insects," *Journal Of Peptide Science*, 6: 497-511 (Exhibit 30);
31. Schuhmann, B., et al., (2003) "Cloning And Expression Of Gallerimycin, An Antifungal Peptide Expressed In Immune Response Of Greater Wax Moth Larvae, *Galleria mellonella*," *Archives Of Insect Biochemistry And Physiology*, 53: 125-133 (Exhibit 31);
32. U.S. Patent Application Publication No. 2002/0015738 A1 published February 7, 2002 (Soo In Kim, et al.)
33. U.S. Patent No. 5,627,153 issued May 6, 1997 to Roger G. Little, et al.;
34. U.S. Patent No. 5,641,627 issued June 24, 1997 to Charles M. Moehle;
35. U.S. Patent No. 5,646,014 issued July 8, 1997 to Noda-Shi Seiichi Hara;
36. U.S. Patent No. 5,939,288 issued August 17, 1999 to Robert Thornburg;
37. U.S. Patent No. 6,331,522 issued December 18, 2001 to Philippe Bulet, et al.;
38. U.S. Patent No. 6,337,093 issued January 8, 2002 to Soo

In Kim, et al.;

- 39. U.S. Patent No. 6,531,573 issued March 11, 2003 to Frank G. Oppenheim;
- 40. U.S. Patent No. 6,605,698 issued August 12, 2003 to Aart Van Amerongen, et al.;
- 41. Vizioli, J. and Salzet, J., (2002) "Antimicrobial Peptides From Animals: Focus On Invertebrates," *Trends In Pharmacological Sciences*, 23(11): 494-496 (Exhibit 32);

Copies of documents numbers 1-31 and 41 are attached hereto as Exhibits 1-31 and 32, respectively. In accordance with 37 C.F.R. §1.92(a)(2)(ii), copies of U.S. Patents and U.S. Patent Application Publications need not be provided. Accordingly, a copy of documents listed above as items 32-40 are not submitted herewith.

In addition, each of Exhibits 9-10, 23, and 25-26 include an English translation of the abstracts of documents numbers 9-10, 23, and 25-26, respectively.

Applicants: Peter David East and Susan Elizabeth Brown  
U.S. Serial No.: 10/590,539  
Filed: as §371 national stage of PCT International Application  
No. PCT/AU2005/000234  
Page 8

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any fee is required, authorization is hereby given to charge the amount of such fee to Deposit Account No. 03-3125.

Respectfully submitted,



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John P. White  
Reg. No. 28,678

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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## **Complete if Known**

Application Number	10/590,539
Filing Date	Not Yet Known
First Named Inventor	Peter David East
Art Unit	
Examiner Name	
Attorney Docket Number	76786/JPW/YC

Sheet 1 of 5

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	Banzet, N., et al., (2002) "Expression Of Insect Cystein-Rich Antifungal Peptides In Transgenic Tobacco Enhances Resistance To A Fungal Disease," <i>Plant Science</i> , 162: 995-1006	
	2	Boman, H.G., et al., (1989) "Chemical Synthesis And Enzymic Processing Of Precursor Forms Of Cecropins A And B," <i>The Journal Of Biological Chemistry</i> , 264(10): 5852-5860	
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	12	Ghannoun, M. A. and Rice, L.B., (1999) "Antifungal Agents: Mode of Action, Mechanisms Of Resistance, And Correlation Of These Mechanisms With Bacterial Resistance," <i>Clinical Microbiology Reviews</i> , 12(4): 501-517,	
	13	Gleave, A.P., (1992) "A Versatile Binary Vector System With A T-DNA Organisational Structure Conducive To Efficient Integration Of Cloned DNA Into The Plant Genome," <i>Plant Molecular Biology</i> , 20: 1203-1207	
	14	Hara, S. and Yamakawa, M., (1995) "Moricin, A Novel Type Of Antibacterial Peptide Isolated From The Silkworm, <i>Bombyx mori</i> ," <i>The Journal Of Biological Chemistry</i> , 270(50): 29923-29927	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Applicants: Peter David East and Susan Elizabeth Brown

U.S. Serial No. 10/590,539  
 Filed as §37 National stage of  
 PCT/AU2005/000234  
 Exhibit A

ALL REFERENCES CONSIDERED EXCEPT WHERE SHOWN OTHERWISE. /BG/

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Application Number	10/590,539
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Attorney Docket Number	76786/JPW/YC

Sheet 2 of 5

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	15	Hara, S. and Yamakawa, M., (1996) "Production in <i>Escherichia coli</i> Of Moricin, A Novel Type Antibacterial Peptide From The Silkworm, <i>Bombyx mori</i> ," <i>Biochemical And Biophysical Research Communications</i> , 220: 664-669	
	16	Harayama, S., (1998) "Artificial Evolution By DNA Shuffling," <i>Trends In Biotechnology</i> , 16(2): 76-82	
	17	Hörmli, H., et al., (2002) "Solution Structure Of Moricin, An Antibacterial Peptide, Isolated From The Silkworm <i>Bombyx mori</i> ," <i>Federation Of European Biochemical Societies Letters</i> , 518(1-3): 33-38	
	27	Lamberty, M., et al., (1999) "Insect Immunity, Isolation From The Lepidopteran <i>Heliothis virescens</i> Of A Novel Insect Defensein With Potent Antifungal Activity," <i>The Journal Of Biological Chemistry</i> , 274(14): 9320-9326	
	28	Mak, P., et al., (2001) "Antibacterial Peptides Of The Moth <i>Galleria mellonella</i> ," <i>Acta Biochimica Polonica</i> , 48(4): 1191-1195	
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	31	Schuhmann, B., et al., (2003) "Cloning And Expression Of Gallerimycin, An Antifungal Peptide Expressed In Immune Response Of Greater Wax Moth Larvae, <i>Galleria mellonella</i> ," <i>Archives Of Insect Biochemistry And Physiology</i> , 53: 125-133	
	41	Vizioli, J. and Salzet, J., (2002) "Antimicrobial Peptides From Animals: Focus On Invertebrates," <i>Trends In Pharmacological Sciences</i> , 23(11): 494-496	

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Sheet **3**

of **5**

Application Number	10/590,539
Filing Date	Not Yet Known
First Named Inventor	Peter David East
Art Unit	
Examiner Name	
Attorney Docket Number	76786/JPW/YC

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	32	US- 2002/0015738 A1	02-07-2002	Soo In Kim, et al.		
	33	US- 5,627,153	05-06-1997	Roger G. Little, et al.		
	34	US- 5,641,627	06-24-1997	Charles M. Moehle		
	35	US- 5,646,014	07-08-1997	Noda-Shi Seichi Hara		
	36	US- 5,939,288	08-17-1999	Robert Thornburg		
	37	US- 6,331,522	12-18-2001	Philippe Bulet, et al.		
	38	US- 6,337,093	01-08-2002	Soo In Kim, et al.		
	39	US- 6,531,573	03-11-2003	Frank G. Oppenheim		
	40	US- 6,605,698	08-12-2003	Aart Van Amerongen, et al.		
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
	6	EP 0 798 381 A3	07-17-1998	NATIONAL INSTITUTE OF AGRICULTURAL RESEARCH, <sup>1</sup> INSTITUT DE RECHERCHES AGRICOLES ET PÊCHERES		<input type="checkbox"/>
	7	EP 0 239 400 B1	08-03-1994	MEDICAL RESEARCH COUNCIL		<input type="checkbox"/>
abstract	8	FR 2 723 951 A1	03-01-1996	NATIONAL INSTITUTE OF AGRICULTURAL RESEARCH, <sup>1</sup> INSTITUT DE RECHERCHES AGRICOLES ET PÊCHERES		<input checked="" type="checkbox"/>
abstract	9	FR 2 733 237 A1	10-25-1996	RHONE-POULENC AGROCHIMIE <sup>2</sup>		<input checked="" type="checkbox"/>
abstract	18	WO 1999/002717	01-21-1999	RHONE-POULENC AGRO		<input type="checkbox"/>
	19	WO 1999/053053	10-21-1999	RHONE-POULENC AGRO		<input type="checkbox"/>

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Sheet	4	of	5
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Application Number	10/590,539
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First Named Inventor	Peter David East
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Attorney Docket Number	76786/JPW/YC

[illegible]

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code* Number** Kind Code (if known)	MM-DD-YYYY			
abstract36	26	WO 2002/000706 A2	01-03-2002	RHOBIO		
abstract1	1	WO 2002/000836 A2	01-03-2002	CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE (CNRS)		
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abstract6	6	JP 11-255799	09-21-1999	IVADUE PREFECTURE		

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Application Number	10/590,539
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[illegible][illegible]

Examiner Signature	/Brian Gangle/	Date Considered	10/01/2008
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